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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/577,220	05/23/2000	Daniel Manhung Wong	OR00-01701	4216

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PARK, VAUGHAN & FLEMING LLP
508 SECOND STREET
SUITE 201
DAVIS, CA 95616

EXAMINER

REVAK, CHRISTOPHER A

ART UNIT PAPER NUMBER

2131

DATE MAILED: 07/28/2004

8

Please find below and/or attached an Office communication concerning this application or proceeding.

27

Office Action Summary

Application No.

09/577,220

Applicant(s)

WONG, DANIEL MANHUNG

Examiner

Christopher A. Revak

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 April 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7, 10-16 and 19-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7, 10-16 and 19-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1-7, 10-16, and 19-25 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The applicant has amended the claims 1, 10, and 19 to recite of "wherein only applications associated with the given application client and not applications associated with other clients will receive the security context for the given client" and the examiner can not find support in the applicant's specification for this recently added claim limitation. Being a negative limitation, it is not sufficiently disclosed in an adequate manner in the applicant's specification to support the current claim language.

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Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-6, 10-15, and 19-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gongwer et al in view of Alegre et al in further view of Hoang et al.

In regards to claims 1, 10 and 19, Gongwer teaches a system for sharing a security context between different applications on a database server associated with a given application client (col. 1, lines 58-61), comprising:

receiving a request at the database server through a database session between the database server and an application on a database client (i.e. an originating application (client) connects to a data server and creates a session, specifying that the session be brand new, and that the new session can be shared by future client connections) (col. 1, lines 44-47);

looking up an identifier for a given application client that identifies a client of the application, the identifier having been previously associated with the database session (i.e. the server recognizes the clients by assigning a respective identifier, called a session handle, to each client) (col. 2, lines 1-4);

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using the identifier to look up the security context (i.e. exclusive security data) for the application client within a storage area (i.e. Securityspace) associated with the database server (col. 4, lines 59-62); and wherein the security context includes attributes related to the given application client (i.e. additional information which can be used, for example, by the security manager to authenticate clients) (col.12, lines 33-35); and

allowing the application client to use the same security context through a second application and a second database session (i.e. sessHandle₂) by:

receiving a second request at the database server through the second database session with the second application (i.e. passing the received workspace handle (wsHandle₁) to the session manager as part of its session initialization procedure);

looking up the identifier for the application client, the identifier having been previously associated with the second database session; and

using the identifier to look up the security context for the given application client within the storage area associated with the database server (i.e. updating the mapping table for this session's entry to reference the Workspace of the originating client) (col.12, lines 47-65).

Gongwer does not teach:

receiving the security context for the given application client from the database client;

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inserting the security context into the storage area associated with the database server so that the security context can be indexed by the identifier for the application client; and

performing a database operation to satisfy the request;
wherein performing the database operation involves enforcing access rights associated with the security context.

Alegre teaches:

receiving the security context for the given application client from the database client (i.e. authentication server first receives the UID and PWD from login process as part of the initial login by the user at client browser) (col. 6, lines 24-27);

inserting the security context into the storage area associated with the database server so that the security context can be indexed by the identifier for the given application client (i.e. authentication database stores information defining which users may access resources on trusted network. Authentication database also stores user profile information that defines the types of access each user has to the resources on trusted network.) (col. 6, lines 29-33).

performing a database operation to satisfy the request (i.e. if the session key is still valid, access server performs the request) (col. 4, lines 63-63);
wherein performing the database operation involves enforcing access rights associated with the security context (i.e. authentication database also stores user profile information that defines the types of access each user has to the resources on trusted network) (col. 6, lines 29-31).

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Therefore it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify the teaching of Gongwer with the teachings of Alegre to include receiving the security context for the given application client from the database client; inserting the security context into the storage area associated with the database server so that the security context can be indexed by the identifier for the given application client; and performing a database operation to satisfy the request; wherein performing the database operation involves enforcing access rights associated with the security context with the motivation to achieve a higher level of security for a trusted network in order to allow access by users on the Internet in a controlled and secure manner (Alegre, col. 2, lines 33-35).

The combination of Gongwer and Alegre do not teach:

Only applications associated with the given application client and not applications associated with other clients will receive the security context for the given client.

Hoang teaches:

A given application client receive a cookie (security context) that includes a unique identification code when a user client (given application client) goes to a local commerce site (which includes applications)(col. 3, lines 30-40). It is interpreted by the examiner that the cookie is associated to that particular client and not associated with other clients since it includes a unique identification code.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply the use of applying security context that is particular to a client and not other clients. The teachings of Hoang recites motivation for the use of applying security context that is particular to a client and not other clients by disclosing that servers typically do not keep track of client requests for web pages (applications), but can send identification code back to the clients whereby the client maintains this specific information (col. 1, lines 49-67). The combination of the teachings of Gongwer and Alegre would have been simplified wherein the same client making multiple request would have been recognized by its unique identification code that is associated with a cookie as is disclosed by Hoang.

In regards to claims 2, 11 and 20, Gongwer teaches wherein the request includes a database query (i.e. transaction) directed to a database (figure 1, element 5) on the database server (figure 1, element 10). The Office infers that conducting a transaction with a database server comprises directing a query to the database.

In regards to claim 3, 12 and 21, Gongwer does not teach wherein performing the database operation involves modifying the database query to enforce access rights associated with the security context.

Alegre teaches wherein performing the database operation involves modifying the database query to enforce access rights associated with the security context (i.e. the trusted network access presentation information is

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created based on the user access profile, and thus includes only selection for accessing resources that the user has access to) (col. 4, lines 44-47).

Therefore it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify the teaching of Gongwer with the teachings of Alegre to include wherein performing the database operation involves modifying the database query to enforce access rights associated with the security context with the motivation to achieve a higher level of security for a trusted network in order to allow access by users on the Internet in a controlled and secure manner (Alegre, col. 2, lines 33-35).

In regards to claim 4, 13 and 22, Gongwer does not teach wherein the identifier for the application client identifies a user of the application that is sending the request to the database server.

Alegre teaches wherein the identifier for the application client identifies a user of the application (i.e. user ID [UID]) that is sending the request to the database server (col. 4, lines 24-26).

Therefore it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify the teaching of Gongwer with the teachings of Alegre to include wherein the identifier for the application client identifies a user of the application that is sending the request to the database server with the motivation to achieve a higher level of security for a trusted network in order to allow access by users on the Internet in a controlled and secure manner (Alegre, col. 2, lines 33-35).

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In regards to claim 5, 14 and 23, Gongwer teaches wherein the database client is an application server that is sending the request to the database server (i.e. the clients are preferably application programs. The application programs can be executing on common computer or on distinct computers) (col. 2, lines 32-34).

Gongwer does not teach wherein the identifier for the application client identifies an application session between the application on the application server and the client of the application.

Alegre teaches wherein the identifier for the application client identifies an application session between the application on the application server and the client of the application (i.e. the packet may be created by merely concatenating a web server identifier, speaker object identifier, or other identifier, to the session key and URL request received from the user). The Office infers that "other identifier" includes the use of an identifier of the application session between the application on the application server and the client of the application.

Therefore it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify the teaching of Gongwer with the teachings of Alegre to include wherein the identifier for the application client identifies an application session between the application on the application server and the client of the application with the motivation to achieve a higher level of security for a trusted network in order to allow access by users on the Internet in a controlled and secure manner (Alegre, col. 2, lines 33-35).

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In regards to claim 6, 15 and 24, Gongwer teaches receiving a request from the application to change the application session associated with the database session; and changing the application session associated with the database session (i.e. applications can create, and actively share a session) (col. 11, lines 64-65).

5. Claims 7, 16, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gongwer et al in view of Alegre et al in further view of Hoang et al in further view of Chatterjee et al.

The teachings of Gongwer, Alegre, and Hoang have been discussed above.

The combination of Gongwer, Alegre, and Hoang, however, does not teach further comprising facilitating connection pooling by periodically changing the application session associated with the database session in order to channel requests associated with multiple application sessions through the database session.

Chatterjee teaches further comprising facilitating connection pooling by periodically changing (i.e. switching) the application session associated with the database session in order to channel requests associated with multiple application sessions through the database session (col. 3, lines 39-55).

Therefore it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify the teaching of Gongwer, Alegre, and Hoang

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with the teachings of Chatterjee to include further comprising facilitating connection pooling by periodically changing the application session associated with the database session in order to channel requests associated with multiple application sessions through the database session with the motivation to allow more users to use a server than the number of connections established with the server (Chatterjee, col. 3, lines 25-27).

Conclusion

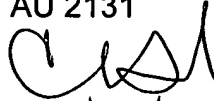
6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher A. Revak whose telephone number is 703-305-1843. The examiner can normally be reached on Monday-Friday, 6:30am-4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 703-305-9648. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Christopher Revak
AU 2131


7/23/04

CR



July 23, 2004